

FILED

COMMONWEALTH OF PENNSYLVANIA
BEFORE THE
PENNSYLVANIA DEPARTMENT OF BANKING AND SECURITIES

2013 FEB -7 PM 1:15

PA DEPARTMENT OF
BANKING AND SECURITIES

| | | |
|------------------------------|---|---------------------------------|
| IN THE MATTER OF | : | |
| | : | ADMINISTRATIVE PROCEEDING |
| Richard J. Wagner d/b/a | : | Docket No. 2004-05-19 |
| Betterway Financial Services | : | |
| RESPONDENT | : | DOCKET No. 13 <u>0016</u> (SEC) |

ORDER TO VACATE ORDER TO SHOW CAUSE

WHEREAS, on April 3, 2009, the Pennsylvania Department of Banking and Securities (Department) issued to Richard J. Wagner d/b/a Betterway Financial Services an Order to Show Cause (OSC) containing allegations of violations of the Pennsylvania Securities Act of 1972 (1972 Act), 70 P.S. 1-101 et seq.; and

WHEREAS, the OSC was issued on April 3, 2009; and

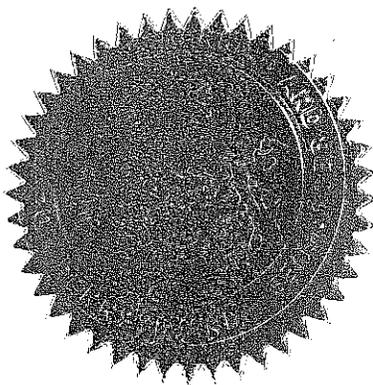
WHEREAS, on or about April 10, 2009, the aforesaid OSC was duly served on Richard J. Wagner d/b/a Betterway Financial Services; and

WHEREAS, the staff of the Department has recommended the OSC be vacated as to Richard J. Wagner d/b/a Betterway Financial Services; and

WHEREAS, the Department finds that it is necessary and appropriate in the public interest and for the protection of investors, consistent with the purposes fairly intended by the policy and provisions of the 1972 Act, to issue this Order to Vacate Order to Show Cause;

NOW, THEREFORE, the Commission directs that the Order to Show Cause issued in this proceeding against Richard J. Wagner d/b/a Betterway Financial Services be and is hereby VACATED.

SO ORDERED this 7th day of February, 2013.



BY ORDER OF THE DEPARTMENT:

Aimee A. Toth, Deputy Secretary

Date issued & entered: 2/7/13

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DEPARTMENT OF BANKING AND SECURITIES

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BANKING AND SECURITIES

COMMONWEALTH OF PENNSYLVANIA :
DEPARTMENT OF BANKING, BUREAU OF :
SECURITIES LICENSING, COMPLIANCE : ADMINISTRATIVE PROCEEDING
AND ENFORCEMENT : DOCKET NO. 2004-05-19

v.

RICHARD J. WAGNER :
d/b/a BETTERWAY FINANCIAL SERVICES :
:
:
Docket No. : 120016 (SEC)

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a copy of the foregoing Order upon the parties below, who constitute the only parties of record in this proceeding, in accordance with the requirements of 1 Pa. Code §§ 33.35, 33.36 and 33.37

BY CERTIFIED AND FIRST CLASS MAIL

Richard J. Wagner
1 Wolf Street
Middleport, PA 17953

Dated this 7th day of February, 2013.

Joseph J. Minisi
Acting Deputy Chief Counsel
Securities Litigation
Attorney I.D. #45211
FOR: Commonwealth of Pennsylvania
Department of Banking and Securities
Address: 17 North Second Street
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Harrisburg, PA 17101