

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING AND SECURITIES

FILED

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COMMONWEALTH OF PENNSYLVANIA :
DEPARTMENT OF BANKING AND :
SECURITIES, BUREAU OF SECURITIES :
LICENSING, COMPLIANCE AND :
EXAMINATIONS :

PA DEPARTMENT OF
BANKING AND SECURITIES

Docket No. : 130050 (SEC-OSC)

v. :

FINANCIAL TRIUMPH ASSOCIATES :
PETER L. WORKMAN :

RESPONDENTS :

FINAL ORDER

AND NOW, this 13th day of August 2014, based upon the pleadings filed in this case, all matters of record, the Proposed Report and Order attached hereto prepared by the Hearing Examiner Suzanne Rauer, the failures of Financial Triumph Associates and Peter L. Workman to file exceptions to or otherwise oppose the Hearing Examiner's Proposed Report and Order, and the findings and conclusions contained therein, the Hearing Examiner's Proposed Report is ADOPTED in its entirety, and it is hereby

ORDERED and DECREED that

(1) The registration of Respondent Financial Triumph Associates is REVOKED pursuant to Section 305 of the 1972 Act, 70 P.S. § 1-305.

(2) Respondents Financial Triumph Associates and Peter L. Workman shall pay, jointly and severally, an administrative assessment of \$50,000 pursuant to Section 602.1(c) of the 1972 Act, 70 P.S. § 1-602.1(c).

(3) Respondent Financial Triumph Associates and Peter L. Workman shall pay, jointly and severally, the costs of the investigation in the amount of \$1,000 pursuant to Section 602.1(b) of the 1972 Act, 70 P.S. § 1-602.1(b). Costs are to be paid by certified check or money order, made payable to the Commonwealth of Pennsylvania and mailed within thirty (30) days of the effective date of this Final Order to the Bureau of Securities Licensing, Compliance and Examinations located at 17 N. Second Street, Suite 1300, Harrisburg, PA 17101.

BY:

GERALD J. PAPPERT, CHAIR

Date of Mailing: 8/13/14