

FILED

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING AND SECURITIES

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PA DEPARTMENT OF
BANKING AND SECURITIES

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING AND
SECURITIES, BUREAU OF SECURITIES
LICENSING, COMPLIANCE AND
EXAMINATIONS

v.

RONALD D. COLEMAN

RESPONDENT

Docket No. : 140053 (SEC-OSC)

FINAL ORDER

AND NOW, this 12th day of August, 2015, based upon the pleadings filed in this case, all matters of record, the Proposed Report and Order attached hereto prepared by Hearing Officer Marc A. Moyer, Esquire, the failure of Ronald D. Coleman to file exceptions to or otherwise oppose the Hearing Officer's Proposed Report and Order, and the findings and conclusions contained therein, the Hearing Officer's Proposed Report is **ADOPTED** in its entirety, and it is hereby

ORDERED and **DECREED** that

(1) Respondent Ronald D. Coleman shall be and hereby is **PERMANENTLY BARRED**,

pursuant to Section 512 of the 1972 Act, from:

- a. Representing an issuer offering or selling securities in the Commonwealth of Pennsylvania;
- b. Acting as a promoter, officer, director or partner of an issuer (or an individual occupying a similar status or performing similar functions) offering or selling securities in the Commonwealth of Pennsylvania, or of a person who controls

or is controlled by such issuer;

- c. Being registered as a broker-dealer, agent, investment adviser or investment adviser representative under Section 301 of the 1972 Act;
- d. Being an affiliate of any person registered under Section 301 of the 1972 Act;
- or
- e. Relying upon an exemption from registration contained in Section 202, 203, or 302 of the 1972 Act.

(2) Pursuant to Section 602.1(b) of the 1972 Act, 70 P.S. §1-602.1(b), it is ORDERED that Respondent Ronald D. Coleman pay \$248.65, which amount represents the investigative and legal costs in this matter.

Payment of the costs of investigation shall be by certified check, attorney's check or U.S. Postal Service money order, made payable to the "Commonwealth of Pennsylvania," and shall be mailed within thirty (30) days of the effective date of the Commission's Final Order in this matter, to:



Banking and Securities Commission
Market Square Plaza
17 N. Second Street, Suite 1300
Harrisburg, PA 17101

BY:

Redacted

JAMES A. BIERY, CHAIR

Date of Mailing: *12 August 2015*