



## **Pennsylvania Banking and Securities Commission**

Meeting Agenda

August 19, 2021

1 p.m.

via Microsoft TEAMS

- I. Call to order and introductory remarks
- II. Minutes - Vote to adopt minutes of meeting of May 20, 2021
- III. Adjudication matters -
  - A. *Department of Banking and Securities, Bureau of Securities Compliance and Examinations v. Cerebrum Financial Services, LLC and Christopher Antoine Glover--Docket No. 180018 (SEC-OSC)*
  - B. *Department of Banking and Securities, Bureau of Securities Compliance and Examinations v. Marvin L. Mitchell d/b/a Mitchell Retirement Group, LLC – Docket No. 210017 (SEC-OSC)*
    1. The Commission at its discretion may hold an executive session to consult with counsel about the adjudication matters, and then return to the open meeting
    2. After official action on each adjudication matter is moved and seconded, and the Commission discusses it, any members of the public in attendance may offer comment prior to the Commission's taking official action on that matter
    3. After any further discussion, the Commission will vote on the proposed official action

- IV. General comment period- Members of the public in attendance may offer comment on other matters of concern
- V. Adjournment