

WHEREAS, the Indictment alleges that from approximately June 2005 through July 2007 Fields engaged in conspiracy to commit "Wire Fraud" (See Exhibit A, ¶1); and

WHEREAS, it was part of the conspiracy that Fields, with the assistance of other members of the conspiracy, applied for mortgages for properties located in Pittsburgh, Pennsylvania (See Exhibit A, ¶2); and

WHEREAS, Fields, with the assistance of other members of the conspiracy, made material misrepresentations about his financial condition in connection with the mortgage applications (See Exhibit A, ¶3); and

WHEREAS, as part of the conspiracy, other members of the conspiracy submitted false documents to lenders in connection with the mortgage applications including, but not limited to, "verifications of employment, verifications of deposit, appraisals, paystubs, and W-2s." (See Exhibit A, ¶4); and

WHEREAS, Fields verified the employment of borrowers to lenders that he knew he did not employ during the application process (See Exhibit A, ¶5); and

WHEREAS, as part of the conspiracy, other members of the conspiracy represented, in connection with the mortgages, that an entity associated with Fields would be paid a portion of the loan proceeds for construction work to be performed on the collateral underlying the loan when Fields, and the other members of the conspiracy, knew that no construction work was going to be performed (See Exhibit A, ¶6); and

WHEREAS, other members of the conspiracy caused wire transfers from the accounts of lenders, located outside the Commonwealth of Pennsylvania, to the accounts of the closing agents located in the Commonwealth of Pennsylvania (See Exhibit A, ¶7); and

WHEREAS, Fields “knowingly and willfully did conspire, combine, confederate and agree with other persons . . . to commit an offense against the United States, that is, Wire Fraud, in violation of Title 18, United States Code, Section 1343” (See Exhibit A, ¶1); and

WHEREAS, the “Wire Fraud” conspiracy relates to the mortgage industry; and

WHEREAS, Wire Fraud conspiracy is a felony; and

WHEREAS, on May 7, 2008 Fields pled guilty to the Indictment (See a copy of the Plea attached as Exhibit B); and

WHEREAS, Section 6138(a)(4) of the Mortgage Act (corresponding to Section 310(a) of the MBBCEPA and Section 16(1) of the SMLA) grants the Department broad authority to issue orders as may be necessary for the enforcement of the Mortgage Act, 7 Pa. C.S. § 6138(a)(4) (corresponding to 63 P.S. § 456.310(a) and 7 P.S. § 6616(1)); and

AND NOW THEREFORE, based upon the foregoing, the Bureau, under the authority cited above, hereby imposes the following Order. Upon the effective date of this Order:

Anthony Fields, as a natural person or as a corporation or as any other form of organization of any kind whatsoever, is hereby prohibited from working in the mortgage loan business as regulated by the Mortgage Act as a licensee, employee, independent contractor, agent, representative, or in any other capacity of any kind whatsoever, in any way whatsoever.

IT IS SO ORDERED.

Date: July 20, 2009

Ryan Walsh, Enforcement Administrator
Department of Banking,
Bureau of Compliance, Investigation and Licensing
Market Square Plaza
17 N. 2nd Street, Suite 1300
Harrisburg, PA 17101

1534

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF PENNSYLVANIA

UNITED STATES OF AMERICA)
)
 v.) Criminal No. 08-35
)
 ANTHONY FIELDS) (18 U.S.C. § 1349)

INDICTMENT

COUNT ONE

FILED

JAN 29 2008

CLERK, U.S. DISTRICT COURT
WEST. DIST. OF PENNSYLVANIA

The Grand Jury charges:

THE CONSPIRACY AND ITS OBJECTS

1. From in or around June 2005, and continuing thereafter until in or around July 2007, in the Western District of Pennsylvania and elsewhere, the defendant, ANTHONY FIELDS, knowingly and willfully did conspire, combine, confederate and agree with other persons known to the Grand Jury, to commit an offense against the United States, that is, Wire Fraud, in violation of Title 18, United States Code, Section 1343.

MANNER AND MEANS OF THE CONSPIRACY

2. It was a part of the conspiracy that the defendant, ANTHONY FIELDS, with the assistance of other members of the conspiracy, applied for loans to purchase properties in the Western District of Pennsylvania, including the properties located at the following addresses:

845 Proctor Way
Pittsburgh, PA 15210

39 Millbridge Street
Pittsburgh, PA 15210

59 Climax Street
Pittsburgh, PA 15210

126 Industry Street
Pittsburgh, PA 15210

1607 Methyl Street
Pittsburgh, PA 15216

3. It was further a part of the conspiracy that the defendant, ANTHONY FIELDS, with the assistance of other members of the conspiracy, made material misrepresentations in connection with the loan applications about his financial condition.

4. It was further a part of the conspiracy that other members of the conspiracy submitted false documents in connection with the loan applications, including but not limited to, verifications of employment, verifications of deposit, appraisals, paystubs, and W-2s.

5. It was further a part of the conspiracy that the defendant, ANTHONY FIELDS, verified the employment of borrowers, including an individual known to the grand jury as DT, when, as the defendant, ANTHONY FIELDS, then well knew those individuals, including DT, were not employed by ANTHONY FIELDS or any entity associated with ANTHONY FIELDS.

6. It was further a part of the conspiracy that as a means of paying members of the conspiracy, other members of the conspiracy represented, in connection with loan transactions, that an entity associated with defendant ANTHONY FIELDS would be paid a portion of the loan proceeds for construction work performed or to

be performed on the collateral underlying the loan, when in truth and fact, and as the other members of the conspiracy and defendant ANTHONY FIELDS then well knew, there had been no construction work performed and there was no expectation of construction work to be performed.

7. It was further a part of the conspiracy that other members of the conspiracy caused wire transfers from the accounts of the lending institutions, located outside the Commonwealth of Pennsylvania, to the accounts of the closing agents located in the Commonwealth of Pennsylvania, in furtherance of the Wire Fraud scheme.

All in violation of Title 18, United States Code, Section 1349.

A True Bill,

~~FOREPERSON~~

MARY BETH BUCHANAN
United States Attorney
PA ID No. 50254

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF PENNSYLVANIA

UNITED STATES OF AMERICA,)	
)	
vs.)	Criminal No.8-35
)	
ANTHONY FIELDS,)	
)	
Defendant.)	

CHANGE OF PLEA

AND NOW, the defendant in the above-captioned case hereby withdraws his plea of not guilty entered February 20, 2008, and now pleads guilty in open court this 7th day of May 2008.

Defendant

Attorney for Defendant

CERTIFIED FROM THE RECORD

Date	<u>FEB - 5 2009</u>
ROBERT W. BARTH, JR., CLERK	
By	Deputy Clerk

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING

FILED

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COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING, BUREAU OF
COMPLIANCE, INVESTIGATION AND
LICENSING

PA DEPT OF BANKING

Docket No. 09 0142 (ENF-ORD)

v.

ANTHONY FIELDS

CERTIFICATE OF SERVICE

I hereby certify that I have this day served a copy of the foregoing **Order of Prohibition** upon the parties below, who constitute the only parties of record in this proceeding, in accordance with the requirements of 1 Pa. Code § 33.31:

**VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED
AND FIRST CLASS MAIL**

Anthony Fields
4677 Beall Drive
Pittsburgh, PA 15236

Dated this 20th day of July, 2009.

Be
Bani, Assistant Counsel
Comr. of Pennsylvania
Department of Banking
17 North Second Street, Suite 1300
Harrisburg, PA 17101
(717) 787-1471