

FILED

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING AND SECURITIES 2014 MAY -7 PM 3:40

COMMONWEALTH OF PENNSYLVANIA	:	PA DEPARTMENT OF
DEPARTMENT OF BANKING AND	:	BANKING AND SECURITIES
SECURITIES, BUREAU OF SECURITIES	:	
LICENSING, COMPLIANCE AND	:	
ENFORCEMENT	:	
	:	
v.	:	Docket No. : 130036 (SEC-OSC)
	:	
HL. I, INCORPORATED	:	
MARK A. FITCHETT	:	
ELIZABETH HARTSIG	:	
	:	
RESPONDENTS	:	

FINAL ORDER

AND NOW, this 7th day of May, 2014, based upon the pleadings filed in this case, all matters of record, the Proposed Report and Order attached hereto prepared by Hearing Officer Jackie Weist Lutz, Esquire, the failure of HL.I, Incorporated, Mark A. Fitchett, and Elizabeth Hartsig to file exceptions to or otherwise oppose the Hearing Officer’s Proposed Report and Order, and the findings and conclusions contained therein, the Hearing Officer’s Proposed Report is **ADOPTED** in its entirety, and it is hereby

ORDERED and **DECREED** that

(1) Respondents HL.I, Incorporated, Mark A. Fitchett, and Elizabeth Hartsig, individually and collectively, shall be and hereby are **PERMANENTLY BARRED**, pursuant to Section 512 of the 1972 Act, from:

- a. Representing an issuer offering or selling securities in this State;
- b. Acting as a promoter, officer, director or partner of an issuer (or an individual occupying a similar status or performing similar functions) offering or selling

securities in this State or of a person who controls or is controlled by such issuer;

c. Being registered as a broker-dealer, agent, investment adviser or investment adviser representative under Section 301 of the 1972 Act;

d. Being an affiliate of any person registered under Section 301 of the 1972 Act;
or

e. Relying upon an exemption from registration contained in Section 202, 203, or 302 of the 1972 Act.

(2) Respondents H.L.I., Incorporated, Mark A. Fitchett, and Elizabeth Hartsig are **ORDERED** to immediately effect a rescission offer to Pennsylvania Residents who purchased any of Respondents' Stock between the years 2003 and 2012 pursuant to Section 513 of the 1972 Act. Within thirty (30) days of the effective date of the Commission's Final Order, Respondents shall submit documentation as determined by the Commission in its Final Order to substantiate that a rescission offer has been made to all Pennsylvania Residents who purchased any of the Respondents' Stock between the years 2003 and 2012.

(3) For their willful violations of the 1972 Act, it is furthered **ORDERED** that Respondents H.L.I., Incorporated, Mark A. Fitchett, and Elizabeth Hartsig shall *each* individually pay an administrative assessment of One Hundred Thousand Dollars (\$100,000) as authorized by Section 602.1(c) of the 1972 Act.

(4) Pursuant to Section 602.1(b) of the 1972 Act, 70 P.S. §1-602.1(b), it is **ORDERED** that Respondents H.L.I., Incorporated, Mark A. Fitchett, and Elizabeth Hartsig jointly and severally pay \$8,821.75, which amount represents the investigative and legal costs in

this matter.

Payment of the administrative assessment and costs of investigation shall be by certified check, attorney's check or U.S. Postal Service money order, made payable to the "Commonwealth of Pennsylvania," and shall be mailed within thirty (30) days of the effective date of the Commission's Final Order in this matter, to:

Banking and Securities Commission
Market Square Plaza
17 N. Second Street, Suite 1300
Harrisburg, PA 17101

BY:

GERALD J. PAPPERT, CHAIR

Date of Mailing: 5/8/12