

FILED

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING AND SECURITIES 2014 AUG 13 PM 2: 25

PA DEPARTMENT OF
BANKING AND SECURITIES

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF BANKING AND
SECURITIES, BUREAU OF SECURITIES
LICENSING, COMPLIANCE AND
ENFORCEMENT

Docket No.: 130059 (SEC-MISC)

v.

RICKER CAPITAL MANAGEMENT
NATHANIEL STEVEN RICKER

FINAL ORDER

AND NOW, this 13th day of August, 2014, based upon the pleadings filed in this case, all matters of record, the Proposed Report and Order attached hereto prepared by Hearing Officer Joyce McKeever, Esquire, the failure of Ricker Capital Management and Nathaniel Steven Ricker to file exceptions to or otherwise oppose the Hearing Officer's Proposed Report and Order, and the findings and conclusions contained therein, the Hearing Officer's Proposed Report is **ADOPTED** in its entirety, and is hereby **ORDERED** and **DECREED** that

1. The registration of Respondent Ricker Capital Management, shall be and is hereby **REVOKED**.

2. It is also **ORDERED** that Respondents shall jointly be assessed costs of the investigation in the amount of \$2,215.38 as authorized by Section 602.1(b) of the 1972 Act.

Payment of the costs of the investigation shall be by certified check, attorney's check or U.S. Postal Service money order, made payable to the "Commonwealth of Pennsylvania" and shall

be mailed within thirty (30) days of the effective date of the Commission's Final Order in this matter, to:

Banking and Securities Commission
Market Square Plaza
17 N. Second Street, Suite 1300
Harrisburg, PA 17101

BY:

GERALD J. PAPPERT, CHAIR

Date of Mailing: 8/13/14